

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 0074/061001
INTERNATIONAL APPLICATION NO. PCT/JP2004/008304	INTERNATIONAL FILING DATE June 8, 2004	U.S. APPLICATION NO. (If known, see 37 CFR 1.57) <b>10/559939</b>
TITLE OF INVENTION RECORDING MEDIUM, DATA REPRODUCING DEVICE, DATA RECORDING DEVICE, AND DATA REPRODUCING METHOD		PRIORITY DATE CLAIMED June 12, 2003
APPLICANT(S) FOR DO/EO/US Hirokazu SO, Shinji INOUE, Takuji MAEDA, Makoto OCHI and Masato SUTO		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - ☒ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☒ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/559939</div>		INTERNATIONAL APPLICATION NO. PCT/JP2004/008304		ATTORNEY'S DOCKET NUMBER 0074/061001	
20. Other items or information:  <div style="text-align: center;">(Continued on attached page)</div>					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .. \$0 All other situations .. \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .. \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .. \$400 All other situations .. \$500				\$ 400.00	
TOTAL OF 21, 22 and 23 =				900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
66 - 100 =	0 /50 =		x \$250		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	8 - 20 =	0	x \$ 50	\$ 0.00	
Independent claims	4 - 3 =	1	x \$200	\$ 2200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,230.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1,230.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +					
TOTAL NATIONAL FEE =				\$ 1,230.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$ 1,230.00	
				Amount to be refunded:	\$
				Amount to be charged	\$

10/559939

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

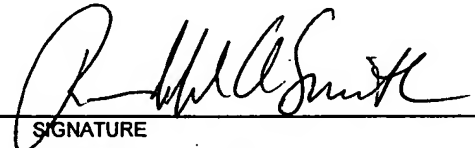
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- IAP8 Rec'd PCT/PTO 08 DEC 2005
- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2586 A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Randolph A. Smith  
SMITH PATENT OFFICE  
1901 Pennsylvania Ave., N.W.,  
Suite 901  
Washington DC 20006-3433  
(Phone) 202-530-5900  
(Fax) 202-530-5902

  
\_\_\_\_\_  
SIGNATURE  
Randolph A. Smith  
\_\_\_\_\_  
NAME  
32,548  
\_\_\_\_\_  
REGISTRATION NUMBER

10/559939

IAP8 Rec'd PCT/PTO 08 DEC 2005

Applicants: Hirokazu SO et al.  
Application No.: filed concurrently  
Title: RECORDING MEDIUM, DATA REPRODUCING DEVICE, DATA RECORDING  
DEVICE, AND DATA REPRODUCING METHOD  
International Application No.: PCT/JP2004/008304  
International Filing Date : June 8, 2004  
Priority Date : June 12, 2003  
U.S. Filing Date : December 8, 2005  
Attorney's Docket No. : 0074/061001

ATTACHMENT PAGE FOR TRANSMITTAL LETTER

Applicants submit the following items of information:

Point No. 20: Continued below

- |   |         |
|---|---------|
| 1) Information Disclosure Statement   | 2 Pages |
| 2) PTO-1449 Form (in duplicate)   | 1 Page  |
| 4) 3 References 3 English Translations and 3 English<br>Abstracts   |         |
| 5) Form PCT/RO/105, Notification of the International Application<br>Number and of the International Filing Date                      | 1 Page  |
| 6) Form PCT/ISA/202, Notification of Receipt of Search Copy   | 1 Page  |
| 7) Form PCT/ISA/210, English Translation of International<br>Search Report  | 1 Page  |
| 8) Form PCT/ISA/210, International Search Report  | 2 Pages |
| 9) Form PCT/ISA/220, Notification of Transmittal of<br>the International Search Report or the Declaration                             | 3 Pages |
| 10) Form PCT/ISA/237, Written Opinion of the International<br>Searching Authority   | 5 Pages |
| 11) Form PCT/IB/301, Notification of Receipt of Record Copy   | 3 Pages |
| 12) Form PCT/IB/304, Notification Concerning Submission<br>or Transmittal of Priority Document  | 1 Page  |
| 13) Form PCT/IB/308, Notice Informing the Applicant of the<br>Communication of the International Application to<br>Designated Offices | 1 Page  |
| 14) Form PCT/IB/346, Notification Concerning the Filing of<br>Amendments of the Claims  | 1 Page  |
| 15) Copy of the Cover Pages of the International Publication<br>WO 2004/111855  | 2 Pages |
| 16) Copy of Article 19 Amendments   | 4 Pages |